

**NOTE: THE FOLLOWING SECTION (PART IV) IS FOR THE ANNUAL REPORT**

**PART IV: ANNUAL REPORT UPDATE**

**IV.1 Outcomes/results of the project**

Please complete as succinctly as possible:

**IV.1a Trade strategy**

	YES	NO	Remarks (short narrative)
1		No	Initial draft TPF returned to consultant for revision before public consultation
2a		N/ A	Those are both major issues and will need to be addressed
2b		N/ A	See above
3		N/ A	This will be the general arrangement
4		N/ A	These issues and others (e.g. youth) will need to be covered
5		N/ A	Initial consultations concluded. Consultant will return for further consultations when revised draft completed.
6		N/ A	TPF will need to be consistent with TK II and follow DTIS, proposed differences to be justified
7a		N/ A	This will need to be done
7b		N/ A	As Above
8a		N/ A	These will be key areas for inclusion in the TPF
8b	Yes		Gov't hopes to

				finalise the TPF before mid-2014
9	There is no trade strategy		N/A	
	<b>Total Score</b>		A	

#### IV.1b Trade strategy implementation

		YES	NO	Remarks
1a	Quality trade strategy implementation plan established with clear and measureable indicators		N/A	This will follow from endorsed TPF (see above)
1b	Quality trade strategy implementation on target/ as foreseen		N/A	See above
2a	Mechanism to revise implementation plan <b>established</b>		N/A	See above
2b	Mechanism to revise implementation plan <b>considered</b>		N/A	See above
3a	Progress in implementation (65 per cent or above of plan are implemented as foreseen)		N/A	See above
3b	Progress in implementation (50 per cent or above of plan are implemented as foreseen)		N/A	See above
3c	Little progress in implementation; only a couple of priorities addressed if at all		N/A	See above
	<b>Total Score</b>			

#### IV.2a Is there a national development plan (NDP) or poverty reduction strategy paper (PRSP)?

NDP?: Yes X \_\_\_\_\_ No \_\_\_\_\_ PRSP?: Yes \_\_\_\_\_ No X \_\_\_\_\_

Remarks: Tuvalu's Te Kakeega II (National Strategy for Sustainable Development) 2005-2015 underwent a Mid-Term Review (MTR) in November 2011

IV.2b If yes, when was it produced/ published? (Year) NDP: 2005 PRSP: \_\_\_\_\_

#### IV.3 Trade in PRSP and/or national development strategies

		YES	NO	Remarks
1	There is an identifiable section in the PRSP/NDP relating to trade		No	MTR has several short, explicit references to trade and its importance for growth and development
2	The DTIS cycle is aligned with the PRSP cycle		N/A	
3	The trade discussion is related back to, and informed by, the description and analysis of poverty at the beginning of the PRSP/national development strategy		N/A	See remarks in 1 above
4	The PRSP/NDP covers other national and international factors affecting trade (supply-side constraints, such as infrastructure,		N/A	Only in very general terms, in

	demand-side constraints, such as market access, and trade issues relating to local and regional markets in addition to the international level)			context of overall development
5	Trade is fully integrated into the key sector strategies		No	This has yet to be achieved
6	Action plans for implementation are defined		No	Only for general actions relative to trade, e.g., increasing share of private sector in GDP
7	A specific budget for implementation of the action plan is defined		No	The Government has few resources to devote to new initiatives and requires aid
8	Where the poverty analysis section of the PRSP (or where relevant in the national development strategy) discusses non-income aspects of the poverty, this informs the trade content of the document		N/A	Not explicitly in the MTR although this will be the case in the TPF
9	The PRSP/NDP discusses trade policy options explicitly (describing how they differ by productive sector, their different impact on vulnerable groups, explicit trade and gender linkages, and differentiations between consumers, producers and employees in an urban and rural environment)		No	
10	There is a description of how the content of the trade policy in the PRSP/NDP was formed		No	
	<b>Total Score</b>			

**IV.4a Are there other sectors (e.g., agriculture, rural development, industry, energy, tourism, transport etc.), with trade incorporated into their strategies?**

Yes \_\_\_\_\_ No  \_\_\_\_\_

**IV.4b** If yes, please list the sectors: \_\_\_\_\_

Remarks: Mainstreaming consultations and follow-up action will be a major focus of activities in 2014

**IV.5 Public-private sector coordination mechanisms**

	YES	NO	Remarks
1		No	The revised NTSC is expected to meet by end January and at least quarterly thereafter
2		No	The current proposed is for the NTSC to be chaired by PS of MFATTEL and include PSs of relevant Ministries and other stakeholders
3		No	That is very much the intention
4		N/A	See above
5		No	The inclusion of such groups in the NTSC has been proposed
6		No	That has been proposed by DoT/EIF for formal approval
<b>Total Score</b>			

**IV.6 Government-donor coordination on trade-related matters**

	YES	NO	Remarks
1a		No	The new Govt has had one HLD and it will be proposed trade be on agenda of future HLDs
1b		No	See above
1c	Yes		That is the case but see above

2a	These meetings address trade policy issues and greater coordination of donor interventions.		No	See above
2b	These meetings address <b>only</b> greater coordination of donor interventions <b>but no</b> trade policy issues	Yes		But see above
2c	Minimal coordination of donor interventions		No	Government attempts to coordinate donor interventions
3a	High-level Government participation (at Ministerial level) at these meetings is the norm	Yes		The Government's HLD was at ministerial level
3b	High-level Government participation (at Ministerial level) at these meetings is not consistent		N/A	
3c	High-level Government participation (at Ministerial level) at these meetings is minimal		No	See above
4	Where it exists, trade is part of the budget support matrix		No	This will be considered
	<b>Total Score</b>			

**IV.7a** In addition to support that your country receives from the EIF, is there any other source of trade-related support in your country? Yes  X  No

**IV.7b** If yes, please list the source(s): Taiwan, Australia, NZ, UNDP, Forum Secretariat, FFA, OCO are major sources

Remarks: Assistance from Taiwan, Australia, New Zealand mainly in form of assistance broadly related to trade (e.g., infrastructure development, training etc)

**IV.8a** Is there an action plan or strategy that integrates all trade-related support to your Government and coordinates the activities? Yes  No  X

This will be on the agenda for the next NTSC meeting

**IV.8b** Does this action plan or strategy integrate cross-cutting issues like gender and the environment?

Gender: Yes  No  X (that is the objective)

Environment: Yes  No  X (that is the objective)

**IV.8c** Is there a Government unit/parastatal that coordinates such trade-related support?

If yes, please name the unit: Department of Planning and the Budget

Remarks: The above Department coordinates all development assistance, not specifically

AFT

**IV.9a** Are donor trade-related activities based on the countries' DTIS Action Matrix priorities?

Yes  X  No

**IV.9b** If yes, please list the donor and related DTIS Action Matrix priorities?

	Donor	Action Matrix Priority
1	AUSAID	HUMAN DEVELOPMENT AND ENVIRONMENT
2	TURKEY	TRADE AND HUMAN DEVELOPMENT:
3	FFA	FISHERIES
4	UNDP	TRADE POLICY AND TRADE FACILITATION
5	ROC	BUSINESS ENVIRONMENT
6	NZAID	MACROECONOMIC ENVIRONMENT
7	SPREP	HUMAN DEVELOPMENT AND ENVIRONMENT

8	PIFS	TRADE POLICY AND TRADE FACILITATION
9	KAZAKHSTAN	TOURISM
10	ILO	HUMAN DEVELOPMENT AND ENVIRONMENT
11	PT&I	TOURISM/TRADE POLICY AND TRADE FACILITATION

**IV.9c** Are there trade-related initiatives that are managed by at least two donors? (Examples include needs assessment, strategy formulation, joint project programming, pooled funding etc.)

Yes  No

If yes, please list the donors and trade-related initiatives

	Donors	Trade-Related Initiatives
1	PT&I, ROC and Government of Tuvalu	Talofa Trade Fair

Remarks:

#### IV.10 Medium-term implementation plan

		YES	NO	Remarks
1a	Costed medium-term EIF/Aid for Trade (AFT) programme, indicating priorities and sequencing, has been developed and validated by the NTSC		No	The basic EIF Tier 1 Project work programme has been prepared but AFT programme needs to be developed and endorsed by NTSC
1b	Costed medium-term EIF programme, indicating priorities and sequencing, has been developed and validated by the NTSC; some AFT needs may still be outside of this programme		No	See above
1c	Costed medium-term EIF programme has been developed and validated by the NSC		No	See above
2a	Medium-term programme is part of the NDP		No	AFT programme to be formulated based on the general TK II priorities, DTIS and approved TPF
2b	Medium-term EIF programme is linked (or being linked) to the NDP		No	See above
2c	Efforts are underway to link the medium-term programme to the NDP		No	See above
3a	Medium-term programme has been developed in consultation with the donor community (with Donor Facilitator (DF) as key counterpart	Yes		
3b	Medium-term programme has been developed in consultation		No	

	with the DF			
3c	Medium-term EIF programme has been developed (or is being developed) in consultation with the DF			
4	Medium-term EIF/AfT programme is part of the Government-donor dialogue	No		That is the intention for the future
5	No actions have yet been taken to develop a medium-term EIF programme	No		Internal DoT/EIF consideration has been given to elements of a programme for consideration by the NTSC
	<b>Total Score</b>			

**IV.11 What are the AfT flows to your country for the following years?**

AfT flow: 2009= AUD\$122,710.32  
2010=AUD\$20,413.00  
2011=AUD\$266,976.01

**IV.12 Please list projects and associated amounts funded by donors that are related to the DTIS Action Matrix**

	Project Title	Amount (\$)
1	TVET Training for Outer Island (Ausaid)	136,490.00
2	TNPSO Strategic Planning Project (Turkey)	3,530.16
3	FISHERIES OBSERVER FEE PROJECT(FFA)	69,030.59
4	EIF-Tuvalu Trade capacity Development & Institutional Strengthening (UNDP)	37,088.88
5	Ship to Shore (NZAID)	128,030
6	TKII Mid Term Review (NZAID)	15,975.00
7	TKII Meeting Forum(Ausaid)	45,000
8	Tuvalu Millennium Development Goals(UNDP)	36,411
9	National Artisanal Tuna Data Workshop (FFA)	31,274.44
10	Consultation on In-Shore Fisheries (FFA)	19,765.06
11	Funds for Tuna Data Providers(FFA)	23,052.00
12	Labour Market Survey (ILO)	2,795.90
13	Tourism Marketing and Promotion (Kazakhstan)	85,510.19
14	Support for ICT (ROC)	240,000

Remarks:



#### **IV.13 Work plan of the project**

- ❑ Please describe any significant changes that occurred during the reporting period in the implementation of the work plan as described in project proposal.
- ❑ Work has begun on the various activities identified in the work plan. Much progress has been made in key areas, especially capacity-building within the DoT/EIF and providing assistance to the TNPSO Secretariat to become established and operate effectively. With the development of the first set of resource materials largely completed and political/policy approval by Government of the revised mission, functions, composition and TORs for the NTSC, the first meeting of the NTSC and the TNPSO AGM, and the commencement of workshops, all expected early in Q1 of 2014, the awareness-raising and capacity building aspects of the Project should intensify in early 2014.

#### **IV.14 Risk analysis**

- ❑ Given the developments that occurred in the context and status of the project during the reporting period, how do you evaluate the *main risks* that the project has to address if it is to achieve its objectives?
- ❑ The main challenge (if not risk) will be to carry out the work required to be done (which will increase with meetings of the NTSC and its sub-committees, workshops etc) with the limited staffing resources within the DoT/EIF. With the departure of Ms Haulagi for extended overseas training, which in itself is a positive development for Tuvalu in terms of building trade capacity, the challenge will be even greater as there is a serious risk that her replacement will not have the same degree of experience and knowledge of trade issues as of Ms Haulagi. Given the inevitable absences of other staff attending overseas training and trade negotiations, the situation may be exacerbated in the coming months.

#### **IV.15 Stakeholders' and partners' perspectives**

- ❑ Please indicate with examples stakeholders' participation in project implementation and their expectations.
- ❑ Please report partners' perspectives, their participation in the implementation of project activities and benefits from the project. This should include the Government partners, private sector and civil society.
- ❑ Please report future perspectives of the project based on the NIU and Ministry's assessment.
- ❑ Efforts during the reporting period focused on capacity-building within the DoT/EIF and TNPSO. The TNPSO Secretariat and Executive worked closely with the Project in the finalisation of the Government-TNPSO MOU and Project support for the TNPSO during the period. As a result, the TNPSO Secretariat was considerably strengthened and the TNPSO Executive has a good understanding of the potential for cooperation with the Project in 2014. The Project also provided welcome advice and support to the TNPSO Executive during a PACER Plus meeting held during the period. For those reasons, the TNPSO values the Project greatly and looks forward to deepening cooperation in 2014.
- ❑ Government stakeholders also value the Project, which was personally endorsed by the new Prime Minister and Minister responsible for MFATTEL, including during the Talofa Trade Fair. They and other Government stakeholders look forward to the commencement of awareness-raising and capacity-building workshops in 2014.
- ❑ The MFATTEL and NIU welcomed the establishment of the Project and strongly supported the Project during the period under review. It recognized the gains made in internal

capacity-building and review of the draft TPF. It support priority being given over the next six months to awareness-raising and capacity-building activities by the Project.